

PATENT  
450117-02928

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION FOR LETTERS PATENT

TITLE: INSTANT MESSAGING  
INVENTORS: Niels MACHE, Ernö KOVACS

William S. Frommer  
Registration No. 25,506  
FROMMER LAWRENCE & HAUG LLP  
745 Fifth Avenue  
New York, New York 10151  
Tel. (212) 588-0800

00925 2512260

European Patent Application

"Instant Messaging System"

Sony International (Europe) GmbH

S99P5142EP00 - PAE99-079TRDE

5 P22952

### Instant Messaging

10 The present invention relates to a system for transmitting messages over a multimedia network from a transmitting client to a target client, to a message broker unit for a distributed multimedia system, to a method for sending messages over a multimedia network from a sending client to a target client as well as to a software program product for implementing such a method in a computer network.

15 The present invention generally relates to the field of electronic messaging. Electronic messages in the form of e-mails or GSM short message texts are known. They rely on a store-and-forward technique where the originator of the message sends the message to a computer node. In the node the message is stored and then forwarded to other nodes until it reaches a mailbox belonging to the intended user.

20 Also known from prior art are dedicated gateways for transferring a message from one transfer medium (e.g. SMS) to another transfer medium (e. g. fax). Several GSM network operators and independent service providers offer functionality like this. The major disadvantage of such systems is that they are targeted at a fixed transfer task, so  
25 is from one well-defined medium into another.

Another means known from prior art is the use of inexpensive intermediate networks for transmitting messages between different locations. For example, one could send a document as an attachment of a e-mail. This combined message is sent to dedicated  
30 gateway where it is converted to fax and transmitted to the intended recipient.

From US-A-5,608,786 a unified messaging system is known. This known technique makes use of existing communication channels or networks. Part of the system relies

on a data communication network forming an intermediate leg of the distribution network. Telephone communication is typically used for initial or final legs. Voice mail, E-mail, facsimiles and other message types can be received by the system for retrieval by the subscriber. Communications may be centralised and retrieval of  
5 messages can be accomplished using one of a number of separate and distinct approaches. Thus, data communication networks such as the internet can become global voice mail and facsimile mail systems.

In view of the above-captioned prior art it is the object of the present invention to  
10 provide for a technique capable of unified (multimedia) instant messaging. With other words, the invention should provide a technique for the nearly real-time transfer of multimedia messages and a processing of these messages through a flexible distributed system.

15 The above object is achieved by means of the features of the independent claims. The dependent claims develop further the central idea of the present invention.

According to the present invention therefore a system for transmitting messages over a multimedia network from a sending client to a target client is provided. The messages  
20 comprise respectively target client information. The system comprises a plurality of distributed message gateways, each message gateway being configured for the receipt and/or transmission over at least one dedicated transfer medium. A message broker is connected to the message gateways, wherein the message broker is provided with a client database.

25

A first message gateway receives a message from a sending client over a first transfer medium and transmits the message or an information extracted thereof to the message broker. The message broker automatically selects an appropriate second transfer medium depending on the content of the client database and the message or the  
30 information supplied by the first message gateway. The message is then sent to the target client by means of a second message gateway configured for a transmission over the second transfer medium selected by the message broker.

The system can comprise a common internal message format for the communication respectively between the message broker and the message gateways.

- 5 The message gateways can be distributed over the network.

The transfer media can comprise analog and digital transfer media.

- 10 At least one message processor can be provided between the first and the second message gateway for further processing the content of the message to be transmitted.

The client database can comprise addresses of clients, client preferences and/or characteristics of the transfer network to the corresponding target client.

- 15 The message broker can be designed to furthermore perform processing control and/or security processing.

The message broker can be designed to furthermore perform accounting and/or billing.

- 20 A plurality of message brokers can be provided.

At least one message broker can be connected to the client database with reduced capacity.

- 25 According to another aspect of the present invention a message broker unit for a distributed multimedia system is provided. The message broker is designed to autonomously select an appropriate transfer medium out of a plurality of transfer media for messages received from a sending client and to be transferred to a target client. The message broker is connected to a client database and the transfer medium selection is  
30 performed depending on target client information and the content of the client database.

The transfer medium selection can be performed depending on the target network, the message type and/or client preferences contained in the client database.

According to the present invention furthermore a message for sending messages over a multimedia network from a sending client to a target client is provided. The messages comprise target client information. The messages or an information extracted thereof  
5 are transmitted from the sending client to a message broker over a first transfer medium. The messages are transmitted to a target client over a second transfer medium, wherein the second transfer medium can be identical to the first transfer medium. The message broker autonomously selects an appropriate second transfer medium out of a plurality of transfer media depending on the content of a client  
10 database connected to the message broker and depending on the message or the information extracted thereof (e.g. target client information).

The transmission of the message from the sending client to the target client can be performed essentially in real time.

15 A conversion from the first transfer medium to the second transfer medium can be performed depending on the target network, the message type and/or client preferences contained in the client database.

20 Before the transmission to the target client, the content of the message can be further processed by digital signing, encrypting, watermarking and/or language translation.

A life time can be attributed to each message and the message therefore is only transmitted to the target client until the expiration of the life time.

25 According to another aspect of the present invention a software program product is provided implementing, when loaded into a memory of a computer, a method as set forth above.

30 In the following further features, objects and advantages of the present invention will become evident for the man skilled in the art by means of a detailed description of embodiments of the invention taken in conjunction with the figures of the enclosed drawings.

Figure 1 shows an example of an unified instant messaging system according to the present invention,

5 Figure 2 shows the principle communication structure of the unified instant messaging system,

Figure 3 shows the internal structure of an instant message broker,

10 Figure 4 shows the internal structure of an instant message gateway,

Figure 5 shows the data path for an example of an unified instant message, and

15 Figure 6 shows an example for an authentication example, i.e. a client cellular phone registration.

The present invention relates to a system that enables the nearly real-time transmission of messages originated from and received by heterogeneous communication networks.

20 The purpose of instant messaging is to transmit high priority messages in (nearly) real-time between clients (man and machine). Such an unified messaging merges analog and digital transmitted messages such as facsimile, voice mail, e-mail, WWW and the cell phone short message service (GSM/SMS) to unified instant messages. A Unified Instant Messaging System (UIMS) consists of four major components:

- 25
- distributed gateways,
  - message brokers,
  - message processors, and
  - a client directory database.

30 Messages of arbitrary form can be translated into Unified Instant Messages (UIM) by Instant Message Gateways (IMGateways). Instant Message Brokers (IMBrokers) control the message flow and further message processing. IMBrokers also ensure message authenticity and security. Further processing steps on an instant message can

be performed anywhere in the IP network by Instant Message Processors (IMP) provided by Instant Message Service Providers (IMSP).

The UIMS has the following properties:

5

a) The system is scalable in a way that the message transmission and conversion capacity can be incrementally increased by extension of gateways, brokers and message processors.

10

b) IMGateways converts messages of arbitrary protocol and format (e.g. fax, voice mail, GSM Short Message Service, GPRS, e-mail, WWW) into unified instant messages that are transmitted over TCP/IP in (nearly) real-time between IMGateways under IMBrokers control.

15

c) IMBrokers manage sender/receiver address conversion, user groups, private user profiles, private user data (e.g. telephone books, calendars, notebooks) and message routing, security, accounting and storage.

20

d) Instant Message Processors enable message translation or conversion and value added services. The account information of the Instant Message Service offered by the ISMP is usually under control of IMBrokers provided by the UIMS carrier.

Figure 1 shows an example of an Unified Instant Messaging System (UIMS). The system consists of Instant Message Brokers 1, 1', Gateways 3, 3', 3'' for e-mail, GSM/SMS, facsimile and voice mail, and an Instant Message Processor 4. The units of the Instant Messaging System communicate with each other over an IP network 5. The instant message broker 1 manages the system configuration and state, user profiles, message routing and services, accounting and security. "Light" and "fat" message 1, 1' brokers differ in such a way that light brokers contain a limited number of user profiles (reduced capacity) in comparison with fat message brokers. Respectively a client profile database 2, 2' is connected with each message broker 1, 1'.

Figure 2 shows the principle communication structure of the unified instant messaging system consisting of three major components: distributed gateways 3, message brokers 1 and a client directory profile database 2. The properties of the messaging system are:

5

1. The system is fully scalable. The message transmission capacity can be incrementally increased by extension with additional gateways, message brokers and distributed client databases.

10 2. Gateways converts arbitrary messages (GSM/SMS, e-mail, fax, WWW) into unified instant messages which are seamlessly transmitted in (nearly) real-time between message brokers and gateways over TCP/IP.

15 3. Message brokers manage client address conversion, user profile handling, message routing, security and accounting.

Instant message gateways and brokers communicate over TCP/IP and may be located anywhere in the Internet. In respect to security and performance, however, the message broker has direct access to the client dictionary.

20

Figure 3 shows the Instant Message Broker (IMB) internals. The internal units of the instant message broker 1 administer user profiles, manages accounting, billing, authentication and security. A configuration unit dynamically traces the state and the properties of gateways, message processors and partner brokers. Dependent on message  
25 type, originator and sender location, user profiles and available resources the instant message required conversion is determined and the instant message is routed from the originator gateway to the destination gateway.

Figure 4 shows the internal structure (example) of an Instant Message Gateway (IMGateway). An IMGateway may have multiple access driver units, such as  
30 GSM/SMS, e-mail, facsimile, WWW. The access driver units communicate with the gateway control over an access driver interface layer. A dynamic configuration unit traces the state and configuration of the gateway with its access drivers. The state



configuration is periodically transmitted to the message brokers that manage the state and properties of the UIMS. The gateway is usually capable to receive and send instant messages (bi-directional). Furthermore the gateway can extract information from the message.

5

Incoming messages (from outside of the IP network 5) are converted into the unified messaging format. Then the message and/or an information extracted thereof is sent to the message broker and so on. Incoming instant messages (the gateway works as a receiver gateway) are converted into the target format and sent out (e.g. over PSTN).

10 In this case the IMGateway returns a delivery acknowledgement.

Fig. 5 shows an unified instant message originated in Japan as an e-mail, transmitted over the Internet 5 and received from a cellular phone 9 located in Germany.

15 Figure 6 shows an authentication example, i.e. client cellular phone registration. On a higher level, a WWW based example of a user authentication is shown below. In principle authentication of the client can be ensured by using session keys in combination with two-way communication (for example WWW and GSM/SMS).

## 20 System Description

As has been already said the system consists of four basic processing units: the message gateway unit 3 (IMGateway), the message broker units (IMBroker) 1, the message processors 4 (IMProcessor), and the client database 2. The system might contain several  
 25 instances of each of these units. Units might be located together on one computing node or might be distributed over several computing nodes connected by the network 5. Messages are processed by this network 5 of units either by following a pre-defined processing path or by dynamically determining the path to take through the different units. In the later case, the current network configuration i.e. the available gateways,  
 30 gateway interfaces and message processors is determined by status information that is periodically broadcast, between gateways, processors and message brokers. The instant message transfer and processing manages IMBrokers according to user preferences (kept in client databases 2) and current network configuration.

### Definition of unified instant messaging (UIM):

A unified instant message is characterized through

5

- Message delivery in nearly real-time
- a unique message structure and presentation, flexible to present any type of messages
- a limited message validity or lifetime (inside the message system)
- 10 • message generation and processing by man and machine.

The unified instant message structure might contain the following components (not limited to):

15

- Text, a text representation of the message that is Optionally Split into granted and non-granted content.

- Granted information.
- Non-granted information, available by client induced message "magnification".

20

- Binary content, optionally optional split into granted and non-granted content.

25

Arbitrary information is stored in a binary content format. This could be a standardized multimedia format (like an audio file, a video file) or a compound document.

- Granted information.
- Non-granted information, available by client induced message "magnification".

30

- Meta information that provides information about the message content. Instant message meta information might contain the following fields (not limited to):

- **Originator (originator client)**

5 A (unified) address that describes the message originator: name, title, postal address, phone/fax number(s), e-mail address(es), et cetera. Message originator hiding for anonymous message creation is an additional property of the originator address. The IMBroker may manage address resolution using a unified naming concept (supports name hiding).

- **originator location**

10 An optional geographical location (possible close to real-time) of the message originator such as GPS location information (with several meter resolution). Future applications may rely on real-time positional client information, e.g. messaging for local interest groups, i.e. participants of fares or conventions and anonymous instant messaging between people joining a (public) event.

- **Receiver (target client)**

15 Similar to the information originator but partial address information of the receiver may be also sufficient if unique. The information receiver address may contain additional fields to address multiple receiver interest groups.

- **Receiver location**

20 Optionally location information that describes the intended geographical area of the addressed receiver(s) to which the submitted information is intended to sent. See also originator location.

- **Time of information origination**

25 Date and time of the origination of the instant message. A high time resolution is advantageous (less then or equal one millisecond). The Accuracy should be better then a few minutes.

- **Time of initial message processing**

30 Date and time when the very first instant message processing began. The initial processing time is used for "spontaneous session keys", session keys with

limited validity (in range of several milliseconds) for military-grade message authentication and security (as described in Instant Message and information Authentication Protocol, IMAP). Like information origination time, a high time resolution and accuracy is advantageous.

5

- **Message lifetime**

Maximum lifetime of the message. If a message could not be transmitted or delivered to the receiver, the IMGateway may discard the message and notifies the IMBroker (which notifies the originator by sending a notification to an IMGateway).

10

- **Message type/content Identification fields.** These fields may be processed by the message broker to control further message processing (such as conversion and additional services).

Describes the type and protocol of the originator and target message (e.g. GSM/SMS, TCP, e-mail, facsimile, WWW). Optionally describes the message content, language and related-to of a message. Optionally determines if the message is confidential i.e. personalized to the receiver. A personalized message can be read only by the receiver, i.e. the message can not be sent to other users inside the message system (forward message protection).

15

20

- **Message processor**

Determines that the message is transferred to one or more message processor(s) before being delivered to the destination gateway.

25

- **Secure message read count**

For read-once properties and for unpacking the non-granted information induced by the client message "magnification".

30

- **Read count limitation**

Limits the maximum reads of a message. This property is required when the number of message forwards is limited.

5

- **Authentication keys**

10

This field contains a number of public keys for message encryption, particularly for the non-granted content of the message.

## 15

## 1. IMGateways

## 20

25

- 30

fixed line connections like PSTN (modem), ISDN, X.31, X.25, frame relay or any other means to access a core network SMS service center

- **fax machine (Fax Interface)**

a connection to a fax machine to transmit messages as a facsimile

- **e-mail system (E-Mail Interface)**

5 an interface to an e-mail system (e.g. POP3 or IMP4) for delivering the message as an e-mail. In this case, the UIM system knows that e-mail systems might have limited real-time capabilities.

- **direct TCP/IP connections (Direct TCP/IP Interface)**

a direct TCP/IP connection to users that are currently or permanently online

10 The access driver units have usually several functions. They represent the terminating or originating element of a telecommunication connection. Alternative they could be the client (originator) or the server (receiver) part of an Internet connection. Through receiving the message through one access unit and transmitting it through another access unit, the IMGateway performs protocol conversions between different  
15 networks.

Furthermore, the access drivers convert received messages into the UIM message format, and outgoing messages into the content format supported by the target network. In this way, the IMGateway performs content conversion depending on the  
20 network characteristics. Some examples for IMGateway supported content formats are: facsimile, voice mail, GSM Short Message Service, GPRS datagrams, Email, WWW, TCP/IP-based message formats.

IMGateway have furthermore connections to other units of the UIM system. Usually,  
25 this is the Direct TCP/IP Interface, but depending on the UIM system setup, any other kind of network and related access driver units can be used.

## 2. Message Processors (Internal Gateways)

30 Another unit is the instant message processor (IMP). Sometimes they are called *internal gateways*, as they perform not transport protocol conversions. MPU perform value-added-service for the message processing like language conversion (e.g.

german-french), speech processing, bank payment/accounting, digital signing, watermarking, etc.

Usually IMPs are part of the transfer of an IM from the gateway to the message broker or vice versa. As described above, there might be a pre-defined path through the different units, or the path is determined dynamically by the different units involved or by the IMBroker.

### 3. Instant Message Broker (IMB)

10

The IMB has direct access to a client database unit. He manages lookup address translation, user preferences and other criteria to determine the next processing steps for the instant messages. He selects an appropriated IMGateway unit for transmitting an IM to the client. Further conversion can be carried out by message processors or by the IMGateways.

15

- Client data administration
- Message and service accounting
- Ensures message authentication, using MIAP (as described)
- Manages message security, dependent on country specific jurisdiction
- Message confidentiality and pay-per-read functionality. This functionality can be realized by secure instant message read count in combination with message encryption and accounting.

20

### 4. Client Database Unit

25

- Uses user profiles to maintain user properties and preferences
- Maintain private user data, such as calendars, telephone books, notes, etc.
- manages message conversion control by using message conversion tables with defined conversion priorities
- Manages message routing and distribution of resource

30

## Advantageous Differences

Compare with the known art, the invention allows the following:

- 5
  - Messages are received and sent out over different communication channels.
  - The unified instant message system can be dynamically extended to add additional transfer networks, services and capacity. This can be done at runtime.
- 10
  - The system autonomously selects the outgoing transfer medium according to a set of known addresses of the user, a list of user preferences, and the known characteristics of the transfer network.
  - The system performs media conversion on the messages according to target network, message type and client preferences.
- 15
  - The system might be able to perform additional processing steps on the message like digital signing, encryption, watermarking, language translation or other.
- 20
  - The system might scale to different size by increasing the number of message gateways, processors and brokers. The system extension can be done in a running system.
- 25
  - Message transfer, processing control and security is managed by a relatively small number of message brokers (in comparison to gateways and processors). The structure and communication protocols of the UIMS enable very high message throughput with a minimum broker load. Minimum broker load is ensured because the unified instant message information content is by NOT processed by message brokers. Message
- 30
  - brokers controls message flow by inspecting unified instant message meta information instead. Because of the meta information is much more compact as the message itself, a maximum throughput with reduced data transfer can be guaranteed.



- Arbitrary messages can be personalized (a "for your eyes only" or confidential message) with the intended receiver client. That is that only the receiver is able to read the message. Beyond it, the message is forward protected, i.e. the receiver is not allowed to forward the private message to another client. Of cause the message can be copied by cut-and-paste, screen dump or by simply writing it down. But in comparison to a usual messaging system the original message content with the message header (time, date, originator, etc.) can not be forwarded to another client. Message confidentiality and personalization is realized by authentication and optionally encrypting with a receiver key that is valid only for the intended receiver and the given message.
- Instant messages contain a secure read count and a maximum read count. The read count is authenticated together with the message content in such a way that the read count and message content can not be modified by a third party without modification of (at least) one component of the instant message system (instant messaging client, gateway, processor, broker). The maximum read count is intended to be used for copy protection issues and to control the effect of message chain letters (if wanted).
- The originator of an instant message can be hidden. Hiding of the message originator is used for anonymous message creation. The message originator is of cause known and authenticated by the instant messaging system (i.e. by the broker). However the broker may change the originator identification to an anonymously (and unique) alias or cipher identification when a message is submitted to a special interest group. This feature is applied when the message originator require to be anonymous, i.e. in auctions, house sales, anonymous partner search. The anonymous originator of a message can be reached (e.g. to reply his message) only by his (unique) alias provided by the message broker.